# WINJAMMER FILING

AMENDMENT End Date:11/26/2021 Firm Name:PICTET OVERSEAS INC Form:Daily Seg - FOCUS II

### Daily Segregation - Cover Page

illy Segregation - Cover Page	
Name of Company	PICTET OVERSEAS INC
Contact Name	Edite Das Neves
Contact Phone Number	<u>514-350-6234</u>
Contact Email Address	edasneves@pictet.com
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	<u>2,750,000</u> <u>0</u> <u>0</u> 0 <u>0</u> 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>1,250,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or c. Dollar amount range between:and; or d. Percentage range of cleared swaps customer collateral required between:% and%.	0 0 0 0 0 0
Current ANC: on Broker Dealer Minimum Debit/Deficit - Customers Current Amount Gross Amount	<u>51,244,013 31-OCT-2021</u> <u>214,913</u>
Domestic Debit/Deficit Foreign Debit/Deficit Debit/Deficit - Non Customers Current Amount Gross Amount	<u>0</u> <u>0</u> <u>0</u>
Domestic Debit/Deficit Foreign Debit/Deficit Proprietary Profit/Loss	<u>0</u> 0 0 0
Domestic Profit/Loss Foreign Profit/Loss Proprietary Open Trade Equity	<u>0</u> <u>0</u>
Domestic OTE Foreign OTE SPAN	<u>0</u> 0
Customer SPAN Calculation Non-Customer SPAN Calcualation Proprietary Capital Charges Minimum Dollar Amount Requirement Other NFA Dollar Amount Requirement	<u>163,537,633</u> <u>0</u> <u>1,000,000</u> [7465] <u>0</u> [7475]

# **Daily Segregation - Secured Amounts**

	Foreign Futures and Foreign Options Secured Amounts	
	Amount required to be set aside pursuant to law, rule or regulation of a foreign	<u>0</u> [7305]
4	government or a rule of a self-regulatory organization authorized thereunder	
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	<u>15,392,333</u> [7315]
S	B. Securities (at market)	37,297,125 [7317]
2. 3.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<u>-4,303,830</u> [7325]
з.	Exchange traded options	200 200 (2005)
	a. Market value of open option contracts purchased on a foreign board of trade	<u>300,799</u> [7335]
4	b. Market value of open contracts granted (sold) on a foreign board of trade	<u>0</u> [7337]
4. 5	Net equity (deficit) (add lines 1. 2. and 3.)	48,686,427 [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>0</u> [7351]
0	Less: amount offset by customer owned securities	<u>0</u> [7352] <u>0</u> [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity	<u>48,686,427</u> [7355]
7	Method (add lines 4 and 5)	
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	<u>48,686,427</u> [7360]
4	FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1.	Cash in banks	4 407 004 [7500]
	A. Banks located in the United States	<u>1,467,661</u> [7500]
2	B. Other banks qualified under Regulation 30.7	<u>0</u> [7520] <u>1,467,661</u> [7530]
2.	Securities	0 [75 40]
	A. In safekeeping with banks located in the United States	<u>0</u> [7540]
2	B. In safekeeping with other banks qualified under Regulation 30.7	<u><b>0</b></u> [7560] <u><b>0</b></u> [7570]
3.	Equities with registered futures commission merchants	<b>5 500 004</b> [7500]
	A. Cash	<u>5,568,924</u> [7580]
	B. Securities	<u>12,257,927</u> [7590]
	C. Unrealized gain (loss) on open futures contracts	<u>-454,762</u> [7600]
	D. Value of long option contracts	<u>300,799</u> [7610]
4	E. Value of short option contracts	<u>0</u> [7615] <u>17,672,888</u> [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	0 [7040]
	A. Cash	<u>0</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [7660]
	D. Value of long option contracts	<u>0</u> [7670]
5.	E. Value of short option contracts	<u>0</u> [7675] <u>0</u> [7680]
5.	Amounts held by members of foreign boards of trade	44 469 832 [7700]
	A. Cash	<u>11,169,832</u> [7700]
	B. Securities	25,039,199 [7710]
	C. Unrealized gain (loss) on open futures contracts	<u>-3,790,750</u> [7720]
	D. Value of long option contracts	<u>0</u> [7730]
6	E. Value of short option contracts	<u>0</u> [7735] <u>32,418,281</u> [7740]
6. 7	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [7760]
7. o	Segregated funds on hand	<u>0</u> [7765]
8. 0	Total funds in separate section 30.7 accounts	<u>51,558,830</u> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<u><b>2,872,403</b></u> [7380]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>1,250,000</u> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	1,622,403 [7785]
	· · · - •	-

Daily Segregation - Segregation Statement

1.       Net ledger balance         A. Cash       38.800.758 [7010]         B. Securities (at market)       243.115.191 [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       38.800.758 [7032]         3.       Exchange traded options       4.4 add market value of open option contracts granted (sold) on a contract market       56.169.793 [7032]         4.       Net equity (definit) (add lines 1, 2 and 3)       186.877.422 [7040]         5.       Accounts liquidating to a deficit and accounts with debit balances - gross amount       64.927.074 [7047] 0 [7045]         1.       Less: amount offset by customer securities       64.927.074 [7047] 0 [7040]         6.       Amount required to be segregated (add lines 4 and 5)       186.877.422 [7060]         7.       Deposited in segregated funds bank accounts       4.825.437 [7070]         8.       Securities representing investments of customers' funds (at market)       0 [7080]         9.       Securities need for particular customers or option customers in lieu of cash (at market)       0 [7100]         8.       Securities representing investments of customers' funds (at market)       0 [7110]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         9.       Net settlement from (to) derivatives clearing organizations of c		SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)	
B. Securities (at market)       243.115.191       [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       23.696.677       [7030]         3.       Exchange traded options	1.		
2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       23.696.677 [7030]         3.       Exchange traded options         4.       Add market value of open option contracts granted (sold) on a contract market       65.169.793 [7032]         5.       Deduct market value of open option contracts granted (sold) on a contract market       137.511.640 [7033]         6.       Accounts liquidating to a deficit and accounts with       64.927.074 [7047]         7.       Accounts liquidating to a deficit and accounts with       64.927.074 [7047] 0 [7050]         8.       Accounts liquidating to a deficit and accounts with       64.927.074 [7047] 0 [7050]         9.       Account required to be segregated (add lines 4 and 5)       196.877.426 [7060]         9.       FUNDS IN SEGREGATED ACCOUNTS       4.825.437 [7070]         7.       Deposited in segregated funds bank accounts       9 [7080]         8.       Securities representing investments of customers in lieu of cash (at market)       9 [7080]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       4.825.437 [7070]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       9 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       9 [7130]         10.			
3.       Exchange traded options       5.         A. Add market value of open option contracts purchased on a contract market       6.169.733 [7032]         B. Deduct market value of open option contracts granted (sold) on a contract market       4.         Net equity (deficit) (add lines 1, 2 and 3)       136.8277.426 [7040]         5.       Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer securities       64.927.074 [7045]         6.       Amount required to be segregated (add lines 4 and 5)       136.877.426 [7060]         FUNDS IN SEGREGATED ACCOUNTS       136.877.426 [7060]         7.       Deposited in segregated funds bank accounts       4.825.437 [7070]         8.       Accash       4.825.437 [7070]         9.       Securities representing investments of customers' funds (at market)       0 [7100]         0.       C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         8.       Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10.       Exchange traded options       0 [7133]         11.       Net equites with other FCMs       0 [7133]         12.       Seqregated funds		· · · · · ·	
A. Add market value of open option contracts purchased on a contract market       66.169.793 [7032]         B. Deduct market value of open option contracts granted (sold) on a contract market       -137.511.640 [7033]         A. Kot equity (deficit) (add lines 1, 2 and 3)       186.877.426 [7040]         S. Accounts liquidating to a deficit and accounts with debit balances - gross amount       64.927.074 [7045]         Less: amount offset by customer securities       64.927.074 [7047] 0 [7050]         R. Acash       64.927.074 [7047] 0 [7050]         FUNDS IN SEGREGATED ACCOUNTS       186.877.426 [7060]         FUNDS IN SEGREGATED ACCOUNTS       197.000]         C. Cash       4.825.437 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7090]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7100]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         B. Securities representing investments of customers' funds (at market)       0 [7133]         10. Exchange traded opti			<u>-23,696,677</u> [7030]
B. Deduct market value of open option contracts granted (sold) on a contract market       -137.511.640 [7033]         4. Net equity (deficit) (add lines 1, 2 and 3)       186.877.426 [7040]         5. Accounts liquidating to a deficit and accounts with debit balances - gross amount       64.927.074 [7045]         Less: amount offset by customer securities       -64.927.074 [7047] 0 [7050]         6. Amount required to be segregated (add lines 4 and 5)       186.877.426 [7060]         FUNDS IN SEGREGATED ACCOUNTS       186.877.426 [7070]         7. Deposited in segregated funds bank accounts       4.825.437 [7070]         A. Cash       4.825.437 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7100]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10. Exchange traded options       0 [7133]         11. Net equities with other FCMs       0 [7131]         A. Value of open short option contracts       0 [7160]         C. Securities held for particular customers' funds (at market)       0 [7160]	3.		
4.       Net equity (deficit) (add lines 1, 2 and 3)       186,877,426 [7040]         5.       Accounts liquidating to a deficit and accounts with debit balances - gross amount lees: amount offset by customer securities       64,927,074 [7045]         6.       Amount required to be segregated (add lines 4 and 5)       186,877,426 [7060]         7.       Deposited in segregated funds bank accounts       4.825,437 [7070]         8.       Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7100]       0 [7100]         B. Securities representing investments of customers in lieu of cash (at market)       0 [7100]       0 [7100]         B. Securities representing investments of customers in lieu of cash (at market)       0 [7110]       0 [7130]         10.       Exchange traded options       0 [7132]       0 [7133]         11.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7133]         10.       Exchange traded option contracts       0 [7133]       0 [7133]         11.       Net settlement ForMs       0 [7160] <td></td> <td></td> <td></td>			
<ul> <li>Accounts liquidating to a deficit and accounts with debit balances - gross amount dise to a deficit and accounts with debit balances - gross amount dise by customer securities (4.927.074 [7047] 0 [7050]</li> <li>Amount required to be segregated (add lines 4 and 5) FUNDS IN SEGREGATED ACCOUNTS</li> <li>Deposited in segregated funds bank accounts A. Cash (2.6a)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets A. Cash (2.700)</li> <li>Securities representing investments of customers' funds (at market) (2.700)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers' funds (at market) (2.7100)</li> <li>Securities representing investments of customers in lieu of cash (at market) (2.7100)</li> <li>Exchange traded options (2.7100)</li> <li>Exchange traded options (2.7100)</li> <li>A. Value of open long option contracts (2.7110)</li> <li>Securities with other FCMs</li> <li>A. Net liquidating equity (2.564417.558 [7140])</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market) (2.7133]</li> <li>Net equities with other FCMs</li> <li>A. Net liquidating equity (2.564417.558 [7140])</li> <li>Securities representing investments of customers' funds (at market) (2.7150)</li> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Securities and funds on hand (2.7550.000 [7180]</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount (1.395.6444 [7198]</li> </ul>		B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-137,511,640</u> [7033]
debit balances - gross amount       64.927.074 [7045]         Less: amount offset by customer securities       54.927.074 [7047] 0 [7050]         6.       Amount required to be segregated (add lines 4 and 5)       186.877.426 [7060]         FUNDS IN SEGREGATED ACCOUNTS       186.877.426 [7060]         7.       Deposited in segregated funds bank accounts       4.825.437 [7070]         8.       Securities representing investments of customers in lieu of cash (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         C. Securities representing investments of customers' funds (at market)       0 [7110]       0 [7120]         Margins on deposit with derivatives clearing organizations of contract markets       0 [7110]       0 [7120]         B. Securities representing investments of customers' funds (at market)       0 [7130]       0 [7130]         C. Securities held for particular customers or option customers in lieu of cash (at 0 [7132]       0 [7132]       0 [7132]         B. Value of open long option contracts       0 [7132]       0 [7133]       0 [7160]       0 [7160]         C. Securities with other FCMs       0 [7160]       0 [7160]       0 [7160]       0 [7150]       11 <tr< td=""><td></td><td></td><td><u>186,877,426</u> [7040]</td></tr<>			<u>186,877,426</u> [7040]
Less: amount offset by customer securities <u>64.927.074</u> [7047] 0 [7050]         6.       Amount required to be segregated (add lines 4 and 5) <u>186.877.426</u> [7060]         FUNDS IN SEGREGATED ACCOUNTS       186.877.426 [7060]         7.       Deposited in segregated funds bank accounts       4.825.437 [7070]         8.       Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         8.       Securities representing investments of customers' funds (at market)       0 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10.       Exchange traded options       1         A. Value of open long option contracts       0 [7133]       0         11.       Net equities with other FCMs       1         A. Net liquidating equity <u>56.417.558</u> [7140]       0         B. Securities representing investments of customers' funds (at market)       0 [7160]       0         C. Securities held for particular customers or option cust	5.	Accounts liquidating to a deficit and accounts with	
<ol> <li>Amount required to be segregated (add lines 4 and 5)</li> <li>HMDS IN SEGREGATED ACCOUNTS</li> <li>Deposited in segregated funds bank accounts</li> <li>A. Cash</li> <li>A. Cash</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Q [7080]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at quirted)</li> <li>Q [7090]</li> <li>market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>Q [7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at quirted)</li> <li>Q [7110]</li> <li>C. Securities representing investments of customers' funds (at market)</li> <li>Q [7120]</li> <li>market)</li> </ul> </li> <li>Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> <li>Exchange traded options</li> <li>A. Value of open long option contracts</li> <li>Q [7133]</li> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Q [7133]</li> </ul> </li> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li< td=""><td></td><td>debit balances - gross amount</td><td><u>64,927,074</u> [7045]</td></li<></ul></li></ol>		debit balances - gross amount	<u>64,927,074</u> [7045]
FUNDS IN SEGREGATED ACCOUNTS         7.       Deposited in segregated funds bank accounts         A. Cash       4.825.437 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         B. Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         B. Securities representing investments of customers' funds (at market)       0 [7130]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7130]         P. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7132]         B. Value of open long option contracts       0 [7132]         B. Value of open short option contracts       0 [7160]         C. Securities with other FCMs       4.843.115.191 [7170]         A. Net liquidating equity       56.4417.558 [7140]         B. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]		Less: amount offset by customer securities	<u>-64,927,074</u> [7047] <u>0</u> [7050]
<ul> <li>7. Deposited in segregated funds bank accounts <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7080]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7090]</li> <li>market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>Q [7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7120]</li> <li>market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7120]</li> <li>market)</li> <li>Q If the settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> </ul> </li> <li>10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>Q [7133]</li> </ul> </li> <li>11. Net equities with other FCMs <ul> <li>A. Value of open short option contracts</li> <li>D [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7133]</li> </ul> </li> <li>12. Segregated funds on hand <ul> <li>Q [7150]</li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>191.523.070 [7180]</li> </ul> </li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>4.645.644 [7190]</li> <li>15. Management Target Amount for Excess funds in segregation over (under) Management Target Amount</li> <li>1.895.644 [7198]</li> </ul>	6.	Amount required to be segregated (add lines 4 and 5)	186,877,426 [7060]
A. Cash       4.825,437 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7090]         8.       Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7130]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10.       Exchange traded options       0 [7132]         A. Value of open long option contracts       0 [7133]         11.       Net equities with other FCMs       56.417.558 [7140]         A. Net liquidating equity       56.417.558 [7140]       0 [7150]         B. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]       0 [7150]         11.       Net equities with other FCMs       56.417.558 [7140]       0 [7150]         2.       Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         12.       Segregated funds		FUNDS IN SEGREGATED ACCOUNTS	
B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7090]         8. Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10. Exchange traded options       0 [7132]         A. Value of open long option contracts       0 [7133]         11. Net equities with other FCMs       0 [7160]         A. Net liquidating equity       -56.417.558 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7150]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         2. Segregated funds on hand       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       191,523.070 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4,645.644 [7190]         15. Management Target Amount for Excess funds i	7.	Deposited in segregated funds bank accounts	
C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7090]         8. Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at 0 [7120]       0 [7130]         B. Securities held for particular customers or option customers in lieu of cash (at 0 [7130]       0 [7130]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10. Exchange traded options       0 [7132]         A. Value of open long option contracts       0 [7133]         11. Net equities with other FCMs       0 [7160]         A. Net liquidating equity <u>56.417.558</u> [7140]         B. Securities representing investments of customers' funds (at market)       0 [7150]         12. Segregated funds on hand       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       191.523.070 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4.645.644 [7190]         15. Management Target Amount for Excess funds in segregation       2.750.000 [7194]         16. Excess (deficiency) funds in segregation over (under) Management Target Amount       1.895.644 [7198]		A. Cash	<u>4,825,437</u> [7070]
<ul> <li>market)</li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at q [7120] market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> <li>10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>D [7132]</li> <li>B. Value of open short option contracts</li> <li>D [7133]</li> </ul> </li> <li>11. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 243,115,191 [7170] market)</li> <li>Q [7150]</li> </ul> </li> <li>12. Segregated funds on hand <ul> <li>Q [7150]</li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>191,523,070 [7180]</li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>4.645,644 [7190]</li> <li>15. Management Target Amount for Excess funds in segregation</li> <li>2.750,000 [7194]</li> </ul> </li> </ul>		B. Securities representing investments of customers' funds (at market)	<u>0</u> [7080]
<ul> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7120] market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> <li>Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>Q [7132]</li> <li>B. Value of open short option contracts</li> <li>Q [7133]</li> </ul> </li> <li>11. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 243,115,191 [7170] market)</li> </ul> </li> <li>12. Segregated funds on hand <ul> <li>Q [7150]</li> </ul> </li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>4.645,644 [7190]</li> <li>2.750,000 [7194]</li> <li>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>1.895,644 [7198]</li> </ul>		C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7090]
<ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at Q [7120] market)</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> <li>10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>Q [7132]</li> <li>B. Value of open short option contracts</li> <li>Q [7133]</li> </ul> </li> <li>11. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at at anarket)</li> <li>Q [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Q [7150]</li> </ul> </li> <li>12. Segregated funds on hand <ul> <li>Q [7150]</li> </ul> </li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>I = Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>I = Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>I = Excess (deficiency) funds in segregation over (under) Management Target Amount</li> </ul>		market)	
B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10. Exchange traded options       0 [7132]         A. Value of open long option contracts       0 [7133]         11. Net equities with other FCMs       0 [7160]         A. Net liquidating equity       -56.417.558 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       191.523.070 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4.645.644 [7190]         15. Management Target Amount for Excess funds in segregation       2.750.000 [7194]         16. Excess (deficiency) funds in segregation over (under) Management Target Amount       1.895.644 [7198]	8.	Margins on deposit with derivatives clearing organizations of contract markets	
B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]         10. Exchange traded options       0 [7132]         A. Value of open long option contracts       0 [7133]         B. Value of open short option contracts       0 [7133]         11. Net equities with other FCMs       0 [7160]         A. Net liquidating equity       -56,417,558 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         12. Segregated funds on hand       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       191,523,070 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4,645,644 [7190]         15. Management Target Amount for Excess funds in segregation       2,750,000 [7194]         16. Excess (deficiency) funds in segregation over (under) Management Target Amount       1,895,644 [7198]		A. Cash	<u>0</u> [7100]
C. Securities held for particular customers or option customers in lieu of cash (at market)       9 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       9 [7130]         10. Exchange traded options       0 [7132]         A. Value of open long option contracts       9 [7133]         B. Value of open short option contracts       9 [7133]         11. Net equities with other FCMs       56,417,558 [7140]         A. Net liquidating equity       -56,417,558 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         12. Segregated funds on hand       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       191,523,070 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4,645,644 [7190]         15. Management Target Amount for Excess funds in segregation       2,750,000 [7194]         16. Excess (deficiency) funds in segregation over (under) Management Target Amount       1,895,644 [7198]		B. Securities representing investments of customers' funds (at market)	<b>0</b> [7110]
<ul> <li>market)</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>9. Exchange traded options</li> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>B. Value of open short option contracts</li> <li>C. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>12. Segregated funds on hand</li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>15. Management Target Amount for Excess funds in segregation</li> <li>2.750.000 [7194]</li> <li>1.895.644 [7198]</li> </ul>		,	<b>0</b> [7120]
<ol> <li>Exchange traded options         <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>C [7132]</li> <li>D [7133]</li> </ul> </li> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>D [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>Segregated funds on hand         <ul> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>A. 645.644 [7190]</li> <li>Z. 750.000 [7194]</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>A. 895.644 [7198]</li> </ul> </li> </ol>			
<ol> <li>Exchange traded options         <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>C [7132]</li> <li>D [7133]</li> </ul> </li> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>D [7160]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>Segregated funds on hand         <ul> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>A. 645.644 [7190]</li> <li>Z. 750.000 [7194]</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>A. 645.644 [7198]</li> </ul> </li> </ol>	9.	Net settlement from (to) derivatives clearing organizations of contract markets	<b>0</b> [7130]
<ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>D. Value of open short option contracts on option customers in lieu of cash (at market)</li> <li>D. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>D. Value of open short open short open short option customers in lieu of cash (at the text open short option customers op</li></ul>	10.		
<ul> <li>B. Value of open short option contracts</li> <li>D [7133]</li> <li>11. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>12. Segregated funds on hand</li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>15. Management Target Amount for Excess funds in segregation</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>1895,644 [7198]</li> </ul> </li> </ul>		-	<b>0</b> [7132]
<ol> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>Segregated funds on hand         <ul> <li>G [7150]</li> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>Management Target Amount for Excess funds in segregation</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>1895,644 [7198]</li> </ul> </li> </ol>			
A. Net liquidating equity       -56,417,558       [7140]         B. Securities representing investments of customers' funds (at market)       0       [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       243,115,191       [7170]         market)       0       [7150]       13.       Total amount in segregation (add lines 7 through 12)       191,523,070       [7180]         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13)       4,645,644       [7190]         15.       Management Target Amount for Excess funds in segregation       2,750,000       [7194]         16.       Excess (deficiency) funds in segregation over (under) Management Target Amount       1,895,644       [7198]	11.		
<ul> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>12. Segregated funds on hand</li> <li>13. Total amount in segregation (add lines 7 through 12)</li> <li>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>15. Management Target Amount for Excess funds in segregation</li> <li>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</li> </ul>		•	-56,417,558 [7140]
C. Securities held for particular customers or option customers in lieu of cash (at market)243,115,191 [7170]12.Segregated funds on hand0 [7150]13.Total amount in segregation (add lines 7 through 12)191,523,070 [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)4,645,644 [7190]15.Management Target Amount for Excess funds in segregation2,750,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount1,895,644 [7198]			
market)012.Segregated funds on hand013.Total amount in segregation (add lines 7 through 12)191,523,070 [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)4,645,644 [7190]15.Management Target Amount for Excess funds in segregation2,750,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount1,895,644 [7198]			
12.Segregated funds on hand <b>0</b> [7150]13.Total amount in segregation (add lines 7 through 12) <b>191,523,070</b> [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13) <b>4,645,644</b> [7190]15.Management Target Amount for Excess funds in segregation <b>2,750,000</b> [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount <b>1,895,644</b> [7198]			<u> </u>
13.Total amount in segregation (add lines 7 through 12)191,523,070 [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)4,645,644 [7190]15.Management Target Amount for Excess funds in segregation2,750,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount1,895,644 [7198]	12.	,	<b>0</b> [7150]
14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)4.645.644 [7190]15.Management Target Amount for Excess funds in segregation2.750.000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount1.895.644 [7198]			
15.Management Target Amount for Excess funds in segregation2,750,000[7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount1,895,644[7198]			
16. Excess (deficiency) funds in segregation over (under) Management Target Amount <u>1,895,644</u> [7198]			
		Excess	<u></u> L ]

#### **Daily Segregation - Supplemental**

-

, , , , , , , , , , , , , , , , , , , ,	
Total gross margin deficiencies - Segregated Funds Origin	<u>0</u> [9100]
Total gross margin deficiencies - Secured Funds Origin	<u>1,574,027</u> [9101]
Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin	<u>0</u> [9102]
Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin	<u>0</u> [9103]
Total number of accounts contributing to total gross margin deficiencies - Segregated	<u>0</u> [9104]
Funds Origin	
Total number of accounts contributing to total gross margin deficiencies - Secured	<u>1</u> [9105]
Funds Origin	
Total number of accounts contributing to the total gross margin deficiencies - Cleared	<u>0</u> [9106]
Swaps Customer Collateral Funds Origin	
Total number of accounts contributing to the total gross margin deficiencies -	<u>0</u> [9107]
Noncustomer and Proprietary Accounts Origin	
Upload a copy of the firm's daily margin report the FCM uses to issue margin calls	
which corresponds with the reporting date.	
	Margin calls - Client 20211126.PDF
Virtual Currency	

Identify the virtual currency products traded by customers and noncustomers: Bitcoin futures Bitcoin options Ether futures Micro bitcoin futures Other: Identify the number of customers with <u>open</u> virtual currency futures/options? Identify the number of noncustomers with <u>open</u> virtual currency futures/options? Total <u>open</u> long customer/noncustomer virtual currency derivatives positions: Total <u>open</u> short customer/noncustomer virtual currency derivatives positions:	No         [VC05]           No         [VC06]           No         [VC07]           No         [VC08]           No         [VC09] 0         [VC10]           0         [VC11]         0         [VC12]         0         [VC03]         0         [VC04]
Identify the virtual currency products traded for <u>proprietary</u> purposes: Bitcoin futures Bitcoin options Ether futures Micro bitcoin futures Other: Total open long <u>proprietary</u> virtual currency derivatives positions: Total open short <u>proprietary</u> virtual currency derivatives positions: <b>Micro</b>	No [VC13] No [VC14] No [VC15] No [VC16] No [VC17] 0 [VC18] 0 [VC19] 0 [VC20]
Identify the micro contracts traded by customers and noncustomers: Equity Interest Rate Metals Energy FX Futures Other Identify the number of customers with <u>open</u> micro contracts?	<u>Yes</u> [MC01] <u>No</u> [MC02] <u>No</u> [MC03] <u>No</u> [MC04] <u>Yes</u> [MC05] <u>No</u> [MC06] <u>0</u> [MC07] <u>1</u> [MC08]

Identify the number of customers with <u>open</u> micro contracts? Identify the number of noncustomers with <u>open</u> micro contracts? Total <u>open</u> customer/noncustomer long micro positions: Total <u>open</u> customer/noncustomer short micro positions:

Identify the micro contracts traded for <u>proprietary</u> purposes: Equity

<u>No</u> [MC12]

<u>0</u> [MC09]

<u>189</u> [MC10]

374 [MC11]

### AMENDMENT End Date:11/26/2021 Firm Name:PICTET OVERSEAS INC Form:Daily Seg - FOCUS II

Interest Rate Metals Energy FX Futures Other Total open long <u>proprietary</u> micro positions: Total open short <u>proprietary</u> micro positions: 
 No
 [MC13]

 No
 [MC14]

 No
 [MC15]

 No
 [MC16]

 No
 [MC17] 0 [MC18]

 0 [MC19]
 0 [MC20]

# Daily Segregation - Swaps Statement

	STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND	
	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>0</u> [8500]
	B. Securities (at market)	<u>0</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	• [0500]
	debit balances - gross amount	<u>0</u> [8560]
6	Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>0</u> [8590]
7.	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	0 [9600]
	A. Cash B. Seguriting representing investments of cleared swape systemate' funds (at market)	<u>0</u> [8600]
	<ul> <li>B. Securities representing investments of cleared swaps customers' funds (at market)</li> <li>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</li> </ul>	<u>0</u> [8610] <u>0</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	<u>u</u> [8820]
0.	segregated accounts	
	A. Cash	<u>0</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>o</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>o</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>o</u> [8660]
10.	Cleared swaps options	<u>•</u> [0000]
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	<u> </u>
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> 0 [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u><b>0</b></u> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u>0</u> [8770]
	Management	