

**WINJAMMER FILING**

**INITIAL**

**End Date:12/1/2023**

**Firm Name:PICTET OVERSEAS INC**

**Form:Daily Seg - FOCUS II**

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**End Date:12/1/2023**

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**Daily Segregation - Cover Page**

|                       |                                   |
|-----------------------|-----------------------------------|
| Name of Company       | <b><u>PICTET OVERSEAS INC</u></b> |
| Contact Name          | <b><u>Marie-Chantal Jean</u></b>  |
| Contact Phone Number  | <b><u>514-350-6270</u></b>        |
| Contact Email Address | <b><u>mjean@pictet.com</u></b>    |

FCM's Customer Segregated Funds Residual Interest Target (choose one):

|   |                         |
|---|-------------------------|
| a. Minimum dollar amount: ; or  | <b><u>1,500,000</u></b> |
| b. Minimum percentage of customer segregated funds required:% ; or        | <b><u>0</u></b>         |
| c. Dollar amount range between:and; or                                    | <b><u>0 0</u></b>       |
| d. Percentage range of customer segregated funds required between:% and%. | <b><u>0 0</u></b>       |

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

|  |                       |
|--|-----------------------|
| a. Minimum dollar amount: ; or   | <b><u>900,000</u></b> |
| b. Minimum percentage of customer secured funds required:% ; or        | <b><u>0</u></b>       |
| c. Dollar amount range between:and; or                                 | <b><u>0 0</u></b>     |
| d. Percentage range of customer secured funds required between:% and%. | <b><u>0 0</u></b>     |

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

|   |                   |
|---|-------------------|
| a. Minimum dollar amount: ; or  | <b><u>0</u></b>   |
| b. Minimum percentage of cleared swaps customer collateral required:% ; or        | <b><u>0</u></b>   |
| c. Dollar amount range between:and; or  | <b><u>0 0</u></b> |
| d. Percentage range of cleared swaps customer collateral required between:% and%. | <b><u>0 0</u></b> |

Current ANC: on **50,972,013 31-OCT-2023**

Broker Dealer Minimum **122,424**

Debit/Deficit - Customers Current Amount Gross Amount

Domestic Debit/Deficit **0**

Foreign Debit/Deficit **0 0**

Debit/Deficit - Non Customers Current Amount Gross Amount

Domestic Debit/Deficit **0 0**

Foreign Debit/Deficit **0 0**

Proprietary Profit/Loss

Domestic Profit/Loss **0**

Foreign Profit/Loss **0**

Proprietary Open Trade Equity

Domestic OTE **0**

Foreign OTE **0**

SPAN

Customer SPAN Calculation **109,214,901**

Non-Customer SPAN Calculation **0**

Proprietary Capital Charges **0**

Minimum Dollar Amount Requirement **1,000,000** [7465]

Other NFA Dollar Amount Requirement **0** [7475]

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

|    |   |                                 |
|----|---|---------------------------------|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                 |
|    | A. Cash   | <u>13,765,440</u> [7315]        |
|    | B. Securities (at market)   | <u>27,818,712</u> [7317]        |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>-5,536,241</u> [7325]        |
| 3. | Exchange traded options   |                                 |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>79</u> [7335]                |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>-65</u> [7337]               |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>36,047,925</u> [7345]        |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>0</u> [7351]                 |
|    | Less: amount offset by customer owned securities  | <u>0</u> [7352] <u>0</u> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>36,047,925</u> [7355]        |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>36,047,925</u> [7360]        |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

|     |   |  |
|-----|---|--|
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>509,828</u> [7500]                      |
|     | B. Other banks qualified under Regulation 30.7  | <u>0</u> [7520] <u>509,828</u> [7530]      |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <u>3,174,872</u> [7540]                    |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | <u>0</u> [7560] <u>3,174,872</u> [7570]    |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | <u>9,231,335</u> [7580]                    |
|     | B. Securities   | <u>5,326,995</u> [7590]                    |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-628,907</u> [7600]                     |
|     | D. Value of long option contracts   | <u>0</u> [7610]                            |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>13,929,423</u> [7620]   |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |  |
|     | A. Cash   | <u>0</u> [7640]                            |
|     | B. Securities   | <u>0</u> [7650]                            |
|     | C. Amount due to (from) clearing organization - daily variation   | <u>0</u> [7660]                            |
|     | D. Value of long option contracts   | <u>0</u> [7670]                            |
|     | E. Value of short option contracts  | <u>0</u> [7675] <u>0</u> [7680]            |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <u>4,491,745</u> [7700]                    |
|     | B. Securities   | <u>22,491,717</u> [7710]                   |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-4,969,811</u> [7720]                   |
|     | D. Value of long option contracts   | <u>79</u> [7730]                           |
|     | E. Value of short option contracts  | <u>-65</u> [7735] <u>22,013,665</u> [7740] |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                            |
| 7.  | Segregated funds on hand  | <u>0</u> [7765]                            |
| 8.  | Total funds in separate section 30.7 accounts   | <u>39,627,788</u> [7770]                   |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>3,579,863</u> [7780]                    |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <u>900,000</u> [7780]                      |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <u>2,679,863</u> [7785]                    |

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**Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |   |
|-----|---|---|
| 1.  | Net ledger balance  |   |
|     | A. Cash   | <b><u>-14,783,209</u></b> [7010]              |
|     | B. Securities (at market)   | <b><u>106,691,576</u></b> [7020]              |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>25,548,666</u></b> [7030]               |
| 3.  | Exchange traded options   |   |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>5,131,648</u></b> [7032]                |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-8,338,700</u></b> [7033]               |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <b><u>114,249,981</u></b> [7040]              |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>0</u></b> [7045]                        |
|     | Less: amount offset by customer securities  | <b><u>0</u></b> [7047] <b><u>0</u></b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <b><u>114,249,981</u></b> [7060]              |
|     | FUNDS IN SEGREGATED ACCOUNTS  |   |
| 7.  | Deposited in segregated funds bank accounts   |   |
|     | A. Cash   | <b><u>236,847</u></b> [7070]                  |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>5,414,255</u></b> [7080]                |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7090]                        |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |   |
|     | A. Cash   | <b><u>0</u></b> [7100]                        |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7110]                        |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7120]                        |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>0</u></b> [7130]                        |
| 10. | Exchange traded options   |   |
|     | A. Value of open long option contracts  | <b><u>0</u></b> [7132]                        |
|     | B. Value of open short option contracts   | <b><u>0</u></b> [7133]                        |
| 11. | Net equities with other FCMs  |   |
|     | A. Net liquidating equity   | <b><u>7,676,354</u></b> [7140]                |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                        |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>106,691,576</u></b> [7170]              |
| 12. | Segregated funds on hand  | <b><u>0</u></b> [7150]                        |
| 13. | Total amount in segregation (add lines 7 through 12)  | <b><u>120,019,032</u></b> [7180]              |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>5,769,051</u></b> [7190]                |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>1,500,000</u></b> [7194]                |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>4,269,051</u></b> [7198]                |
|     | Excess  |   |

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**Daily Segregation - Supplemental**

- Total gross margin deficiencies - Segregated Funds Origin **0** [9100]
- Total gross margin deficiencies - Secured Funds Origin **0** [9101]
- Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9102]
- Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9103]
- Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin **0** [9104]
- Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin **0** [9105]
- Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9106]
- Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9107]
- Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.

Margin calls - Client FCM  
20231201.PDF

**Virtual Currency**

Identify the virtual currency products traded by customers and noncustomers:

- Bitcoin futures **No** [VC05]
- Bitcoin options **No** [VC06]
- Ether futures **No** [VC07]
- Micro bitcoin futures **No** [VC08]
- Other: **No** [VC09] **0** [VC10]
- Identify the number of customers with open virtual currency futures/options? **0** [VC11]
- Identify the number of noncustomers with open virtual currency futures/options? **0** [VC12]
- Total open long customer/noncustomer virtual currency derivatives positions: **0** [VC03]
- Total open short customer/noncustomer virtual currency derivatives positions: **0** [VC04]

Identify the virtual currency products traded for proprietary purposes:

- Bitcoin futures **No** [VC13]
- Bitcoin options **No** [VC14]
- Ether futures **No** [VC15]
- Micro bitcoin futures **No** [VC16]
- Other: **No** [VC17] **0** [VC18]
- Total open long proprietary virtual currency derivatives positions: **0** [VC19]
- Total open short proprietary virtual currency derivatives positions: **0** [VC20]

**Micro**

Identify the micro contracts traded by customers and noncustomers:

- Equity **Yes** [MC01]
- Interest Rate **No** [MC02]
- Metals **No** [MC03]
- Energy **No** [MC04]
- FX Futures **Yes** [MC05]
- Other **No** [MC06] **0** [MC07]
- Identify the number of customers with open micro contracts? **1** [MC08]
- Identify the number of noncustomers with open micro contracts? **0** [MC09]
- Total open customer/noncustomer long micro positions: **6** [MC10]
- Total open customer/noncustomer short micro positions: **233** [MC11]

Identify the micro contracts traded for proprietary purposes:

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Equity

Interest Rate

Metals

Energy

FX Futures

Other

Total open long proprietary micro positions:

Total open short proprietary micro positions:

No [MC12]

No [MC13]

No [MC14]

No [MC15]

No [MC16]

No [MC17] 0 [MC18]

0 [MC19]

0 [MC20]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

| Cleared Swaps Customer Requirements |   |
|-------------------------------------|---|
| 1.                                  | Net ledger balance  |
|                                     | A. Cash <u>0</u> [8500]   |
|                                     | B. Securities (at market) <u>0</u> [8510]   |
| 2.                                  | Net unrealized profit (loss) in open cleared swaps <u>0</u> [8520]  |
| 3.                                  | Cleared swaps options   |
|                                     | A. Market value of open cleared swaps option contracts purchased <u>0</u> [8530]                                |
|                                     | B. Market value of open cleared swaps option contracts granted (sold) <u>0</u> [8540]                           |
| 4.                                  | Net Equity (deficit) (add lines 1, 2, and 3) <u>0</u> [8550]  |
| 5.                                  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <u>0</u> [8560]               |
|                                     | Less: amount offset by customer owned securities <u>0</u> [8570] <u>0</u> [8580]                                |
| 6.                                  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <u>0</u> [8590]                |
|                                     | Funds in Cleared Swaps Customer Segregated Accounts   |
| 7.                                  | Deposited in cleared swaps customer segregated accounts at banks  |
|                                     | A. Cash <u>0</u> [8600]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8610]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8620]           |
| 8.                                  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts        |
|                                     | A. Cash <u>0</u> [8630]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8640]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8650]           |
| 9.                                  | Net settlement from (to) derivatives clearing organizations <u>0</u> [8660]                                     |
| 10.                                 | Cleared swaps options   |
|                                     | A. Value of open cleared swaps long option contracts <u>0</u> [8670]  |
|                                     | B. Value of open cleared swaps short option contracts <u>0</u> [8680]   |
| 11.                                 | Net equities with other FCMs  |
|                                     | A. Net liquidating equity <u>0</u> [8690]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8700]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8710]           |
| 12.                                 | Cleared swaps customer funds on hand  |
|                                     | A. Cash <u>0</u>  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u>                   |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> <u>0</u> [8715]  |
| 13.                                 | Total amount in cleared swaps customer segregation (add lines 7 through 12) <u>0</u> [8720]                     |
| 14.                                 | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <u>0</u> [8730]  |
| 15.                                 | Management Target Amount for Excess funds in cleared swaps segregated accounts <u>0</u> [8760]                  |
| 16.                                 | Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <u>0</u> [8770] |