

# fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Pictet Overseas Inc

Run on: 30 Sep, 2019 at 20:19  
Activity Date: 09/30/2019  
Quarter Starting On: 07/01/2019

## Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	6,829	
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%	
Non-Directed Customer Orders That Were:		
Market	Limit	Other including "Not Held"
Percentage    # of Orders	Percentage    # of Orders	Percentage    # of Orders
0.00%            31	0.00%            61	0.00%            6,737

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	9	0.00%	0	0.00%	0	0.00%	9
BATS Y Exchange : BYXX	0.00%	872	0.00%	0	0.00%	3	0.00%	869
Bats Trading, Inc. : BATS	0.00%	113	0.00%	0	0.00%	0	0.00%	113
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	275	0.00%	0	0.00%	0	0.00%	275
Citigroup Global Markets Inc. : FLOW	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Credit Suisse Securities (USA) LLC : FBCO	0.00%	23	0.00%	0	0.00%	0	0.00%	23
Direct Edge EDGA Exchange : EDGA	0.00%	5	0.00%	1	0.00%	0	0.00%	4
Direct Edge EDGX Exchange : EDGX	0.00%	358	0.00%	0	0.00%	12	0.00%	346
IEX SERVICES LLC : IEXG	0.00%	1,326	0.00%	0	0.00%	41	0.00%	1,285
INSTINET, LLC : INCA	0.00%	2	0.00%	0	0.00%	0	0.00%	2
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	28	0.00%	0	0.00%	0	0.00%	28
LIQUIDNET, INC. : LQNB	0.00%	17	0.00%	0	0.00%	0	0.00%	17
Maxim Group LLC : MAXM	0.00%	6	0.00%	0	0.00%	0	0.00%	6
Nasdaq Execution Services, LLC. : NSDQ	0.00%	611	0.00%	19	0.00%	0	0.00%	592
Pictet Geneva-Switzerland	0.00%	2	0.00%	0	0.00%	0	0.00%	2
Pictet Overseas Inc. : PICT	0.00%	22	0.00%	0	0.00%	0	0.00%	22
SPEEDROUTE LLC : SPDR	0.00%	3,157	0.00%	11	0.00%	5	0.00%	3,141
VIRTU Americas LLC : NITE	0.00%	2	0.00%	0	0.00%	0	0.00%	2

## Exchange: Exchange Listed Options

Non-Directed Customer Orders Having a Market Value Less Than \$50,000:

2,697

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$50,000:

100.00%

Non-Directed Customer Orders That Were:

Venue	Total Non-Directed Orders		Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
			0.00%	0	0.00%	0	100.00%	2,697
Venue	Total Non-Directed Orders Percentage	# of Orders	Non-Directed Market Orders Percentage	# of Orders	Non-Directed Limit Orders Percentage	# of Orders	Non-Directed Other Orders Percentage	# of Orders
DASH FINANCIAL TECHNOLOGIES LLC : D	0.00%	138	0.00%	0	0.00%	0	0.00%	138
INSTINET, LLC : INCA	0.00%	2,270	0.00%	0	0.00%	0	0.00%	2,270
LiquidPoint	0.00%	117	0.00%	0	0.00%	0	0.00%	117
MERRILL LYNCH, PIERCE, FENNER & SMIT	0.00%	172	0.00%	0	0.00%	0	0.00%	172

**Exchange: NASDAQ Stock Exchange Securities (NAS)**

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

19,011

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

100.00%

Non-Directed Customer Orders That Were:

Venue	Total Non-Directed Orders		Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
			0.00%	74	0.00%	122	0.00%	18,815
Venue	Total Non-Directed Orders Percentage	# of Orders	Non-Directed Market Orders Percentage	# of Orders	Non-Directed Limit Orders Percentage	# of Orders	Non-Directed Other Orders Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	88	0.00%	0	0.00%	1	0.00%	87
BATS Y Exchange : BYXX	0.00%	824	0.00%	0	0.00%	1	0.00%	823
Bats Trading, Inc. : BATS	0.00%	564	0.00%	0	0.00%	0	0.00%	564
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	571	0.00%	0	0.00%	1	0.00%	570
Credit Suisse Securities (USA) LLC : FBCO	0.00%	307	0.00%	0	0.00%	0	0.00%	307
Direct Edge EDGA Exchange : EDGA	0.00%	110	0.00%	0	0.00%	0	0.00%	110
Direct Edge EDGX Exchange : EDGX	0.00%	724	0.00%	0	0.00%	21	0.00%	703
IEX SERVICES LLC : IEXG	0.00%	1,500	0.00%	0	0.00%	52	0.00%	1,448
INSTINET, LLC : INCA	0.00%	120	0.00%	0	0.00%	0	0.00%	120
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	19	0.00%	0	0.00%	0	0.00%	19
LIQUIDNET, INC. : LQNB	0.00%	231	0.00%	0	0.00%	0	0.00%	231
Maxim Group LLC : MAXM	0.00%	17	0.00%	0	0.00%	0	0.00%	17
Nasdaq Execution Services, LLC. : NSDQ	0.00%	4,584	0.00%	72	0.00%	22	0.00%	4,490
Pictet Overseas Inc. : PICT	0.00%	2	0.00%	0	0.00%	0	0.00%	2

SPEEDROUTE LLC : SPDR	0.00%	9,341	0.00%	2	0.00%	24	0.00%	9,315
VIRTU AMERICAS LLC : VALR	0.00%	6	0.00%	0	0.00%	0	0.00%	6
VIRTU Americas LLC : NITE	0.00%	1	0.00%	0	0.00%	0	0.00%	1
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Nasdaq Execution Services, LLC. : NSDQ	0.00%	1	0.00%	0	0.00%	0	0.00%	1

**Exchange: New York Stock Exchange Securities (NYSE)**

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 27,253

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	123	0.00%	138	0.00%	26,992

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
209999-Pictet (Canada)	0.00%	1	0.00%	0	0.00%	0	0.00%	1
ATM EXECUTION LLC : ATMC	0.00%	523	0.00%	3	0.00%	2	0.00%	518
BATS Y Exchange : BYXX	0.00%	1,109	0.00%	0	0.00%	3	0.00%	1,106
Bats Trading, Inc. : BATS	0.00%	1,694	0.00%	2	0.00%	0	0.00%	1,692
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	511	0.00%	1	0.00%	2	0.00%	508
Credit Suisse Securities (USA) LLC : FBCO	0.00%	842	0.00%	0	0.00%	0	0.00%	842
Direct Edge EDGA Exchange : EDGA	0.00%	30	0.00%	0	0.00%	0	0.00%	30
Direct Edge EDGX Exchange : EDGX	0.00%	859	0.00%	0	0.00%	27	0.00%	832
IEX SERVICES LLC : IEXG	0.00%	2,486	0.00%	10	0.00%	54	0.00%	2,422
INSTINET, LLC : INCA	0.00%	277	0.00%	0	0.00%	0	0.00%	277
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	39	0.00%	0	0.00%	0	0.00%	39
LIQUIDNET, INC. : LQNB	0.00%	390	0.00%	0	0.00%	0	0.00%	390
Maxim Group LLC : MAXM	0.00%	57	0.00%	0	0.00%	0	0.00%	57
Nasdaq Execution Services, LLC. : NSDQ	0.00%	2,103	0.00%	55	0.00%	5	0.00%	2,043
Pictet Overseas Inc. : PICT	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Rosenblatt Securities Inc. : ROSN	0.00%	5	0.00%	0	0.00%	0	0.00%	5
SPEEDROUTE LLC : SPDR	0.00%	16,308	0.00%	52	0.00%	45	0.00%	16,211
VIRTU AMERICAS LLC : VALR	0.00%	15	0.00%	0	0.00%	0	0.00%	15
Direct Edge EDGX Exchange : EDGX	0.00%	1	0.00%	0	0.00%	0	0.00%	1

## End of SEC Rule 606 - Order Routing Disclosure Report