

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Pictet Overseas Inc

Run on: 31 Dec, 2019 at 20:17
Activity Date: 12/31/2019
Quarter Starting On: 10/01/2019

Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	7,965	
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%	
Non-Directed Customer Orders That Were:		
Market	Limit	Other including "Not Held"
Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
0.00% 33	0.00% 94	0.00% 7,838

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	10	0.00%	0	0.00%	1	0.00%	9
BATS Y Exchange : BYXX	0.00%	303	0.00%	0	0.00%	1	0.00%	302
Bats Trading, Inc. : BATS	0.00%	176	0.00%	1	0.00%	2	0.00%	173
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	288	0.00%	2	0.00%	4	0.00%	282
Credit Suisse Securities (USA) LLC : FBCO	0.00%	18	0.00%	0	0.00%	0	0.00%	18
Direct Edge EDGA Exchange : EDGA	0.00%	9	0.00%	1	0.00%	0	0.00%	8
Direct Edge EDGX Exchange : EDGX	0.00%	561	0.00%	0	0.00%	19	0.00%	542
IEX SERVICES LLC : IEXG	0.00%	1,242	0.00%	1	0.00%	60	0.00%	1,181
INSTINET, LLC : INCA	0.00%	13	0.00%	0	0.00%	0	0.00%	13
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	35	0.00%	0	0.00%	0	0.00%	35
LIQUIDNET, INC. : LQNB	0.00%	109	0.00%	0	0.00%	0	0.00%	109
Maxim Group LLC : MAXM	0.00%	4	0.00%	0	0.00%	0	0.00%	4
Nasdaq Execution Services, LLC. : NSDQ	0.00%	628	0.00%	24	0.00%	1	0.00%	603
Pictet Overseas Inc. : PICT	0.00%	17	0.00%	0	0.00%	0	0.00%	17
SPEEDROUTE LLC : SPDR	0.00%	4,545	0.00%	4	0.00%	6	0.00%	4,535
VIRTU Americas LLC : NITE	0.00%	6	0.00%	0	0.00%	0	0.00%	6
SPEEDROUTE LLC : SPDR	0.00%	1	0.00%	0	0.00%	0	0.00%	1

Exchange: Exchange Listed Options

Non-Directed Customer Orders Having a Market Value Less Than \$50,000: 3,460

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$50,000: 100.00%

Non-Directed Customer Orders That Were:

	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	0	0.00%	0	100.00%	3,460

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
DASH FINANCIAL TECHNOLOGIES LLC : D	0.00%	537	0.00%	0	0.00%	0	0.00%	537
INSTINET, LLC : INCA	0.00%	2,671	0.00%	0	0.00%	0	0.00%	2,671
MERRILL LYNCH, PIERCE, FENNER & SMIT	0.00%	252	0.00%	0	0.00%	0	0.00%	252

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 31,418

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	64	0.00%	133	0.00%	31,221

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	117	0.00%	0	0.00%	1	0.00%	116
BATS Y Exchange : BYXX	0.00%	940	0.00%	0	0.00%	0	0.00%	940
Bats Trading, Inc. : BATS	0.00%	1,653	0.00%	0	0.00%	0	0.00%	1,653
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	1,936	0.00%	2	0.00%	0	0.00%	1,934
Credit Suisse Securities (USA) LLC : FBCO	0.00%	518	0.00%	0	0.00%	0	0.00%	518
Direct Edge EDGA Exchange : EDGA	0.00%	184	0.00%	0	0.00%	0	0.00%	184
Direct Edge EDGX Exchange : EDGX	0.00%	1,517	0.00%	0	0.00%	16	0.00%	1,501
IEX SERVICES LLC : IEXG	0.00%	2,322	0.00%	4	0.00%	83	0.00%	2,235
INSTINET, LLC : INCA	0.00%	558	0.00%	0	0.00%	0	0.00%	558
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	32	0.00%	0	0.00%	0	0.00%	32
LIQUIDNET, INC. : LQNB	0.00%	712	0.00%	0	0.00%	0	0.00%	712
Maxim Group LLC : MAXM	0.00%	69	0.00%	0	0.00%	0	0.00%	69
Nasdaq Execution Services, LLC. : NSDQ	0.00%	5,183	0.00%	52	0.00%	16	0.00%	5,115
Pictet Overseas Inc. : PICT	0.00%	26	0.00%	0	0.00%	0	0.00%	26
SPEEDROUTE LLC : SPDR	0.00%	15,651	0.00%	6	0.00%	17	0.00%	15,628

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 55,000

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

Market		Limit		Other including "Not Held"	
Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
0.00%	82	0.00%	145	0.00%	54,773

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	485	0.00%	1	0.00%	1	0.00%	483
BATS Y Exchange : BYXX	0.00%	2,473	0.00%	0	0.00%	2	0.00%	2,471
Bats Trading, Inc. : BATS	0.00%	1,374	0.00%	3	0.00%	0	0.00%	1,371
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	1,684	0.00%	0	0.00%	2	0.00%	1,682
Credit Suisse Securities (USA) LLC : FBCO	0.00%	975	0.00%	0	0.00%	0	0.00%	975
Direct Edge EDGA Exchange : EDGA	0.00%	250	0.00%	0	0.00%	0	0.00%	250
Direct Edge EDGX Exchange : EDGX	0.00%	2,094	0.00%	0	0.00%	19	0.00%	2,075
IEX SERVICES LLC : IEXG	0.00%	3,017	0.00%	10	0.00%	90	0.00%	2,917
INSTINET, LLC : INCA	0.00%	658	0.00%	0	0.00%	0	0.00%	658
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	21	0.00%	0	0.00%	0	0.00%	21
LIQUIDNET, INC. : LQNB	0.00%	1,203	0.00%	0	0.00%	0	0.00%	1,203
Maxim Group LLC : MAXM	0.00%	181	0.00%	0	0.00%	0	0.00%	181
Nasdaq Execution Services, LLC. : NSDQ	0.00%	2,594	0.00%	43	0.00%	1	0.00%	2,550
Pictet Overseas Inc. : PICT	0.00%	66	0.00%	0	0.00%	0	0.00%	66
Rosenblatt Securities Inc. : ROSN	0.00%	197	0.00%	0	0.00%	0	0.00%	197
SPEEDROUTE LLC : SPDR	0.00%	37,696	0.00%	25	0.00%	30	0.00%	37,641
VIRTU AMERICAS LLC : VALR	0.00%	2	0.00%	0	0.00%	0	0.00%	2
IEX SERVICES LLC : IEXG	0.00%	15	0.00%	0	0.00%	0	0.00%	15
SPEEDROUTE LLC : SPDR	0.00%	15	0.00%	0	0.00%	0	0.00%	15

End of SEC Rule 606 - Order Routing Disclosure Report